

Compliance and Certification Committee Nomination Form

In the capacity of a North American Electric Reliability Corporation (NERC) Board of Trustees (Board)-appointed stakeholder committee serving and reporting directly to the NERC Board, the Compliance and Certification Committee (CCC) will engage with support, and advise the NERC Board and NERC Staff regarding all facets of the NERC Compliance Monitoring and Enforcement Program, Organization Registration Program, and Organization Certification Program.

The CCC has an open nomination process. Individuals or recognized industry groups may nominate candidates for consideration. A nominee that represents a NERC member may only be nominated for a sector that matches the NERC member’s sector under the NERC membership list (to check a NERC member’s sector, please consult the [NERC membership list](#)).

Please review the CCC Charter for information regarding the responsibilities of CCC members.

Nominee Information	
Name	Lisa Milanes
Organization	California ISO
NERC Compliance Registry (NCR)	
Sector Membership	At Large
Title	Director, Compliance and Corporate Affairs
Department, Division, or Unit	Legal/ General Counsel
Email Address	lmilanes@caiso.com
Telephone	9163512172
Brief biographical sketch of nominee including present position	<ul style="list-style-type: none"> • Manage team of 9 FTE’s – Manager of NERC O&P, Manager of Strategy and Tariff Compliance, Manager of Information Governance, and 6 Analysts. Hire, train, and assess performance. Responsible for managing \$1m budget. • Oversight of the compliance program, working closely with the Board of Governors, CEO and executive team. • Development and maintenance of policies and procedures for the general operation of the compliance program as it relates to activities to prevent illegal, unethical, or improper conduct. • Development and implementation of corporate-wide records management program, in coordination with the legal department. This

	<p>includes processes, procedures, and the support framework that ensure compliance with state and federal laws and internal policies and procedures.</p> <ul style="list-style-type: none"> • Development and implementation of corporate internal controls framework and risk-management protocols. • Responsible for promoting a culture of compliance across the organization through the use of communications, training, effective program management, and development of tools to assist the organization in ensuring compliance. • Responsible for conducting internal investigations in conjunction with the affected business unit and legal department, to determine compliance. Facilitate compilation and organization of evidence to respond to external investigations. • Oversee process for developing short-term and long-term corporate goals and facilitation of strategic plan. • Regional and ERO committee work: <ul style="list-style-type: none"> ○ Western Interconnection Compliance Forum Steering Committee (2011-2014); still actively engaged ○ WECC Reliability Policy Issues Committee (2011-2013) ○ WECC Board Class 1 Member Representative (2011-present) ○ Peak Reliability Board Class 1 Member Representative (2013-2017) ○ NERC Compliance Certification Committee (2014-present) ○ North American Transmission Forum Representative (2014-present) ○ ISO / RTO Council Compliance Working Group (2011-present)
<p>Description of nominee’s general compliance experience/knowledge (e.g. regional council</p>	<p>Worked at FRCC (2005-2007) as Compliance Program Administrator</p>

compliance committee, corporate compliance, etc.)	Worked at WECC (2007-2010) as Manager of Compliance Program Administration Worked at California ISO (2010-Present) as Director, Compliance and Corporate Affairs
Description of nominee’s compliance enforcement experience/knowledge (e.g. regulatory process, ERO rules of procedure, NERC sanctioning guidelines etc.)	Worked at FRCC (2005-2007) as Compliance Program Administrator Worked at WECC (2007-2010) as Manager of Compliance Program Administration Worked at California ISO (2010-Present) as Director, Compliance and Corporate Affairs
Description of nominee’s compliance administration/management experience/knowledge (e.g. setting up or participation in corporate compliance program)	I’ve been managing compliance oversight activities, both on the regional entity and registered entity side, since 2007.
Description of nominee’s organizational certification experience/knowledge (e.g. ERO registry criteria familiarity/interpretation etc.)	I participated on several NERC Certification/Readiness reviews of different registered entities when I worked at FRCC and WECC, and have also managed the ISO’s certification review of footprint changes and our newest registered function (RC).
Description of nominee’s general NERC experience/background (e.g. NERC/RRO Committees, Standard Drafting Teams, Task Force, etc.)	Attend NERC BOT meetings Designated alternate to NERC MRC (for prior CEO, Steve Berberich) Member of NERC CCC (2014-present) Chair of EROMS (2018-present)

Sector Information	
Membership sector(s) that nominee is nominated for and is qualified to represent	<input type="checkbox"/> Sector 1 – Investor-owned Utility <input type="checkbox"/> Sector 2 – State/Municipal Utility <input type="checkbox"/> Sector 3 – Cooperative Utility <input type="checkbox"/> Sector 4 – Federal or Provincial Utility/Power Marketing Administration <input type="checkbox"/> Sector 5 – Transmission-dependent Utility <input type="checkbox"/> Sector 6 – Merchant Electricity Generator <input type="checkbox"/> Sector 7 – Electricity Marketer <input type="checkbox"/> Sector 8 – Large End Use Electricity Customer <input type="checkbox"/> Sector 9 – Small End Use Electricity Customer <input type="checkbox"/> Sector 10 – ISO/RTO <input type="checkbox"/> Sector 12 – Government Representatives <input type="checkbox"/> U.S. State

	<input type="checkbox"/> U.S. Federal <input type="checkbox"/> Canadian Federal <input type="checkbox"/> Canadian Provincial <input checked="" type="checkbox"/> At-Large
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Nominator Information

Nominated by (please check one)	<input type="checkbox"/> Individual <input type="checkbox"/> Organization <input checked="" type="checkbox"/> Self-nomination
Name	Same as above
Organization	
Email Address	
Telephone	